

Mining Excel 2.0



Zipcode					
A	B	C	D	E	F
1	Zipcode	Latitude	Longitude	City	StateAbbr County
2	16820	40.908997	-77.424249	AARONSBURG	PA CENTRE
3	36310	31.599256	-85.209408	ABBEVILLE	AL HENRY
4	31001	31.972626	-83.33082	ABBEVILLE	GA WILCOX
5	70510	29.943573	-92.148728	ABBEVILLE	LA VERMILION
6	70511	29.9752	-92.1353	ABBEVILLE	LA VERMILION
7	38601	34.489297	-89.474125	ABBEVILLE	MS LAFAYETTE
8	29620	34.189812	-82.412455	ABBEVILLE	SC ABBEVILLE
9	4406	45.221933	-69.49039	ABBOT	ME PISCATAQUIS
10	54405	44.950905	-90.304868	ABBOTSFORD	WI CLARK



DYALOG

Sicily 2015



Introduction to Excel/OOXML



Dyalog'18 - Mining Excel 2.0

Excel at BCA Research...

Global Economic Analysis

- Data sources - (Bloomberg, ThomsonReuters...) make data available as .xlsx or .csv
- Lists - keeping track of... user profiles, data retrieval codes, publication files, etc.
- Interfaces for data collection - downloads and analytical tools are driven by Excel Add-ins
- Charts - if no other way to produce
- Statistics - if no better way to calculate
- Reports - presentation of analyses; lists of things that need attention, etc.
- Process control - "table-driven" tasks - determine what to do based on worksheet contents

The screenshot displays the BCA Research website. At the top, there are four icons representing different services: BCA Edge (a red circle with a white bar chart), BCA Analytics (a red circle with a white line graph), BCA Indicators (an orange circle with a white target icon), and BCA Online (a grey circle with a white computer monitor icon). Below these icons are four columns of text describing each service, each with a 'Learn More' button. The main navigation bar includes links for HOME, SERVICE, SOLUTIONS, BLOG, NEWS, CONFERENCE, ABOUT US, TRIAL, and CONTACT. The 'BCA Indicators' section is highlighted, featuring the text 'Analytics. Insight. Integrated.' and 'BCA Indicators directly converts our in depth research into quantitative insight to inform and drive investment decision-making.' Below this, there are tabs for 'Why BCA Indicators?', 'Features', 'Benefits', 'Resources', and 'Request A Demo'. The 'Features' tab is active, showing three sections: 'GLOBAL COVERAGE' (Access over 200 proprietary indicators across every asset class and region, built by our respected strategists and analysts), 'INTEGRATED' (View or download indicator data in order to integrate into investment decision-making frameworks and models), and 'UP-TO-DATE' (Use our alerting engine to receive real-time alerts when data changes, so you can quickly integrate new insights into trades). A quote at the bottom reads: '*By integrating BCA Indicators into our investment framework,'. On the right side of the page, there is a large image of a computer monitor displaying a line chart with multiple data series.



Excel and XML

Office Open XML = "OOXML"

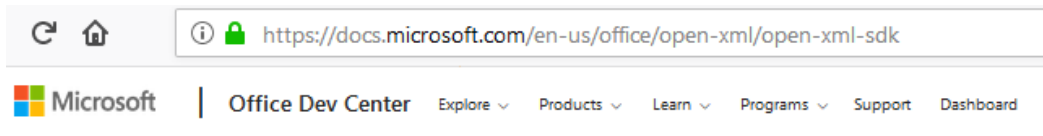
- 2000-2006 - standardization process
- MS Office 2007 - adopts the format
- *.xlsx, not *.xls



Office Open XML (also informally known as OOXML or OpenXML) is a zipped, XML-based file format developed by Microsoft for representing spreadsheets, charts, presentations and word processing documents (Excel, PowerPoint, Word).

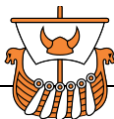


The Office Open XML SDK



*The SDK is built on the System.IO.Packaging API and provides strongly-typed classes to manipulate documents that adhere to the Office Open XML File Formats specification. The Open XML file formats are useful for developers because they are an **open standard** and are **based on well-known technologies: ZIP and XML**.*

The Open XML SDK 2.5 simplifies the task of manipulating Open XML packages and the underlying Open XML schema elements within a package. The SDK encapsulates many common tasks that developers perform on Open XML packages, so that you can perform complex operations with just a few lines of code.



What about COM (OLE, ActiveX...), and CSV?

COM continues to be used, but issues include:

- deployment; cost
- resource footprint
- performance
- automation challenges
- other limitations

```
'EX'WC'OLEClient' 'Excel.Application'  
'EX.WB'WC EX.Workbooks  
'WB0'EX.WB.OpenBOOK  
'SHEET1'WC EX.WB.WB0.ActiveSheet  
'RNG1'WC SHEET1.UsedRange  
SDATA←RNG1.Value2  
.....
```

CSV

- still a very common interchange format
- esp. for spreadsheet creation / tabular data management
- so, still an essential tool for numerous tasks; now we have - CSV
- datatype concerns?

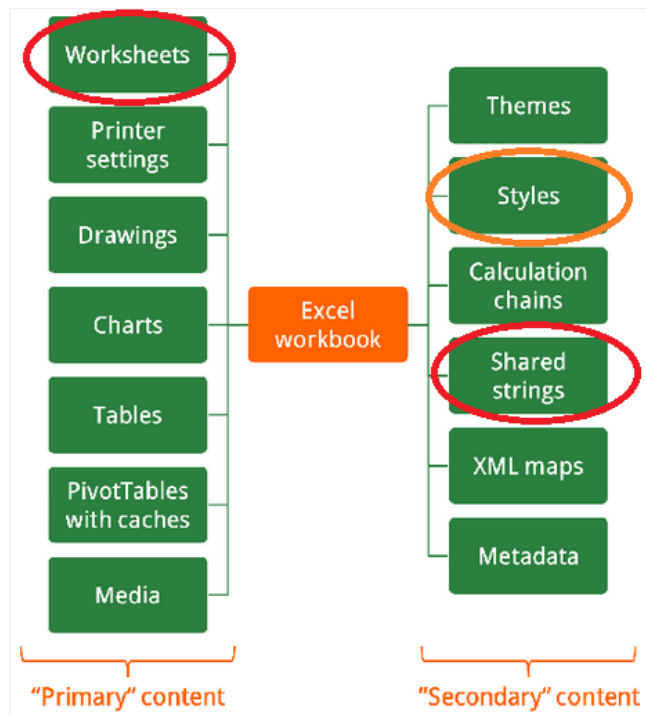


Open XML - Structure

Key components:

- Worksheets
- Shared Strings
- Styles (in part)

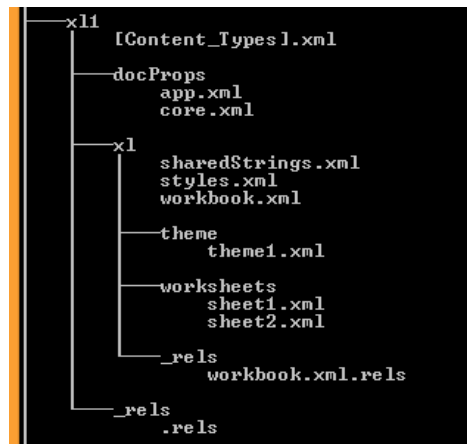
(similar for Word, PowerPoint)



(<https://professor-excel.com/xml-zip-excel-file-structure/>)

So what exactly is in that ZIP?

(eg. <http://officeopenxml.com/SScontentOverview.php>)



Folders	Name	Type
my budget.zip	_rels	File Folder
	printerSettings	File Folder
	tables	File Folder
	theme	File Folder
	worksheets	File Folder
	calcChain.xml	XML Document
	sharedStrings.xml	XML Document
	styles.xml	XML Document
	workbook.xml	XML Document

Folders	Name	Type
my budget.zip	_rels	File Folder
	sheet1.xml	XML Doc
	sheet2.xml	XML Doc
	sheet3.xml	XML Doc

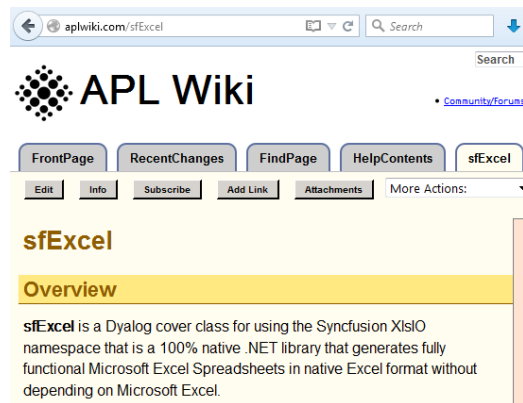
Current Dyalog APL OOXML-based Utilities

Syncfusion XlsIO

Essential XlsIO is a native **.NET** class library that can be used to create and modify **Microsoft Excel** files by using **C#, VB.NET** and managed **C++** code. It is a non-UI component that provides a full-fledged object model that facilitates accessing & manipulating the spreadsheets without any dependency of Microsoft Office COM libraries & Microsoft Office.

sfExcel

- excellent toolkit for Dyalog APL and XlsIO
- "DataTable" feature for speedups
- well documented and tested



Using the OOXML SDK Directly



Google It - to see examples of typical C# code to extract cell values...

```
// create a new string array
string[] theArray = new string[values.Length];

// loop through the 2-D System.Array and populate the 1-D String Array
for (int i = 1; i <= values.Length; i++)
{
    if (values.GetValue(1, i) == null)
        theArray[i-1] = "";
    else
        theArray[i-1] = (string)values.GetValue(1, i).ToString();
}
return theArray;
```

ie. get individual cell values - one at a time in a loop



OOXML and APL - *what is the best strategy?*

The SDK offers properties & methods to grab individual items, or...
the ENTIRE worksheet XML

ie. since we then "have" the raw markup, containing all the data...
...all we have to do is...???

pxml ← {Excel/OpenXML worksheet object}...Worksheet.OuterXml

159478

```
<x:worksheet xmlns.....><x:v>4</x:v></x:c><x:c r="F1" t="s"><x:v>5</x:v></x:c><x:c r="G1"
t="s"><x:v>6</x:v></x:c><x:c r="H1" t="s"><x:v>7</x:v></x:c><x:c r="I1" t="s"><x:v>8</x:v></x:c><x:c
r="J1" t="s"><x:v>9</x:v></x:c><x:c r="K1" t="s"><x:v>10</x:v></x:c></x:row><x:row r="2"
spans="1:11" x14ac:dyDescent="0.25"><x:c r="A2" t="s"><x:v>11</x:v></x:c><x:c r="B2" t="s"><x:v>12
```

```
<worksheet xmlns="http://.../spreadsheetml/2006/main" >
  <sheetData>
    <row>
      <c>
        <v>42</v>
      </c>
    </row>
  </sheetData>
</worksheet>
```

	A	
1	42	
2		



Excel to APL Array -> implementation options

Processing the worksheet XML,

may depend on your objectives and the data itself:

- columns of single datatype?
- focused selections?, eg. named-ranges, particular rows/cols
- conditional selections? (ignore unnecessary segments?)
- need for meta-data? (formulas, styles, etc.)
- are strings/datatypes important? (or just the numbers?)
- batch, pre-processing?
- very large spreadsheets?

But most likely, in general...



Excel to APL Array - Typical processing (in brief)

- get XML for one worksheet
- determine result array shape; create vector of cell contents
- determine cell datatypes (strings, numerics, other)
- extract key item(s) from each cell (all still text strings at this point)
- convert numerics, dates, error items, or other numeric variations
- for (datatype = string) cells...
 - `r[where shared strings]← sharedStrings.xml[cell values are the indices]`
 - `r[where inline]← r[strings provided in those cells]`
- de-escape strings (&→ &...)
- check for empty cell locations - expand if needed; reshape to 2d
- apply tests and throw exceptions as needed (missing/invalid data etc.)

so to get that worksheet XML...



Excel to APL Array - the OOXML SDK

(sort of like this...)

```
⎕USING←,c',DocumentFormat.OpenXml.dll'  Ⓐ installed in Dyalog folder
```

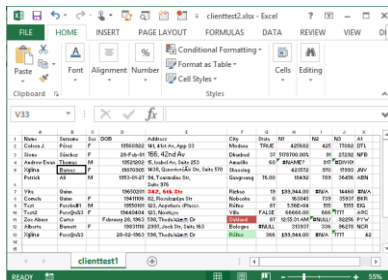
```
Ⓐ gather all necessary xml; Open Workbook obj; 0 = readonly
d1←DocumentFormat.OpenXml.Packaging.SpreadsheetDocument.Open fname 0
d2←d1.WorkbookPart.Workbook.Sheets      Ⓐ Worksheets collection
cn←d2.ChildElements.Count
:For sn :In 0,⌊-1+cn                      Ⓐ sheet#s 0-origin
    flds←'SheetId' 'Name' 'Id'
    sx←flds,[1.5]⌈⌊⌈'(<d2.ChildElements.Item[sn].')',⌊flds
    id←3>sx[;2]
    sd←d1.WorkbookPart.GetPartById<,id    Ⓐ worksheet obj
    sdx←sd.Worksheet.OuterXml              Ⓐ xml
```

...essentially, navigate your way through the key worksheet items, gather contents, then process the results into APL-ready data



Excel to APL Array - the OOXXML SDK

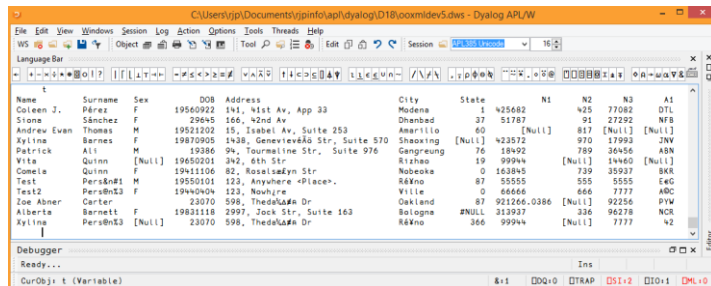
conceptually...



Name	Sex	DOB	Address	City	State	N1	N2	N3	A1
Colleen J.	F	19560922	141, 1st Av, App 33	Madison	WI	425682	425	77082	DTL
Stone	F	19560922	141, 1st Av, App 33	Madison	WI	425682	425	77082	DTL
Andrew Evan	M	19521202	15, Isabel Av, Suite 253	Amarillo	TX	817	[Null]	[Null]	NFS
Xylina	F	19870905	1438, Genesvieve St, Suite 570	Shawnee	KS	913	817	[Null]	[Null]
Patrick	M	19560201	342, 6th Str	Ritzing	IL	99944	[Null]	14460	[Null]
Comela	F	19911106	82, Roselawn Str	Nebraska	NE	66666	666	7777	ATW
Pers#N1	M	19550501	123, Anywhere <Place>	Rafino	CA	95555	555	5555	CAO
Test2	F	19440404	123, Nowhere	Ville	OK	86666	666	7777	ATW
Zoe Abner	F	23070	598, Thedala Str	Oakland	CA	94612	[Null]	92256	PBC
Alberta	F	19831118	2997, Jack Str, Suite 163	Bologna	IT	313937	326	96278	NCR
Xylina	F	23070	598, Thedala Str	Rafino	CA	95555	[Null]	7777	v2

the SDK unzips *.xlsx file contents dynamically, exposing them to Methods and Properties we can manipulate to obtain worksheet XML contents

```
<x:worksheet xmlns.....> <x:v>4</x:v></x:c>  
<x:c r="F1" t="s"><x:v>5</x:v></x:c>  
<x:c r="G1" t="s"><x:v>6</x:v></x:c>  
<x:c r="H1" t="s"><x:v>7</x:v></x:c>
```



Name	Surname	Sex	DOB	Address	City	State	N1	N2	N3	A1
Colleen J.	Pérez	F	19560922	141, 1st Av, App 33	Madison	WI	425682	425	77082	DTL
Stone	Sánchez	F	29945	166, v2nd av	Dumbad	37	7187	91	27292	NFS
Andrew Evan	Thomas	M	19521202	15, Isabel Av, Suite 253	Amarillo	TX	817	[Null]	[Null]	[Null]
Xylina	Bermes	F	19870905	1438, Genesvieve St, Suite 570	Shawnee	KS	913	817	[Null]	[Null]
Patrick	Ali	M	19366	94, Tourmaline Str, Suite 976	Gangreung	76	18492	789	26456	ASN
Vita	Quinn	[Null]	19650201	342, 6th Str	Ritzing	IL	99944	[Null]	14460	[Null]
Comela	Quinn	F	19911106	82, Roselawn Str	Nebraska	NE	66666	666	7777	ATW
Pers#N1	Pers#N1	M	19550501	123, Anywhere <Place>	Rafino	CA	95555	555	5555	CAO
Test2	Pers#N3	F	19440404	123, Nowhere	Ville	OK	86666	666	7777	ATW
Zoe Abner	Carter	F	23070	598, Thedala Str	Oakland	CA	94612	[Null]	92256	PBC
Alberta	Bernett	F	19831118	2997, Jack Str, Suite 163	Bologna	IT	313937	326	96278	NCR
Xylina	Pers#N3	[Null]	23070	598, Thedala Str	Rafino	CA	95555	[Null]	7777	v2

repeat to gather sharedStrings, style.xml, and perhaps other items...

process xml strings into APL array(s)

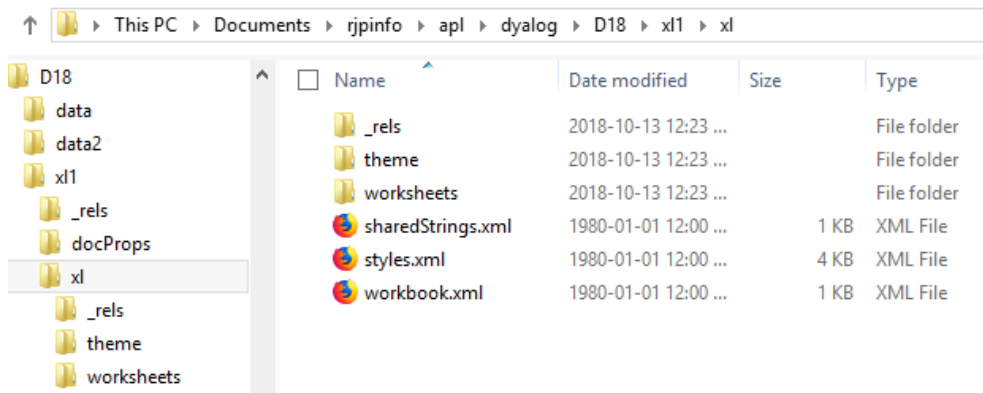


Excel to APL Array - "option B"

the Excel file is a Zipped archive, so...

```
System.IO.Compression.ZipFile.ExtractToDirectory...
```

unzips *.xlsx contents into a target folder:



then: fetch worksheet and sharedStrings xml vectors → process...

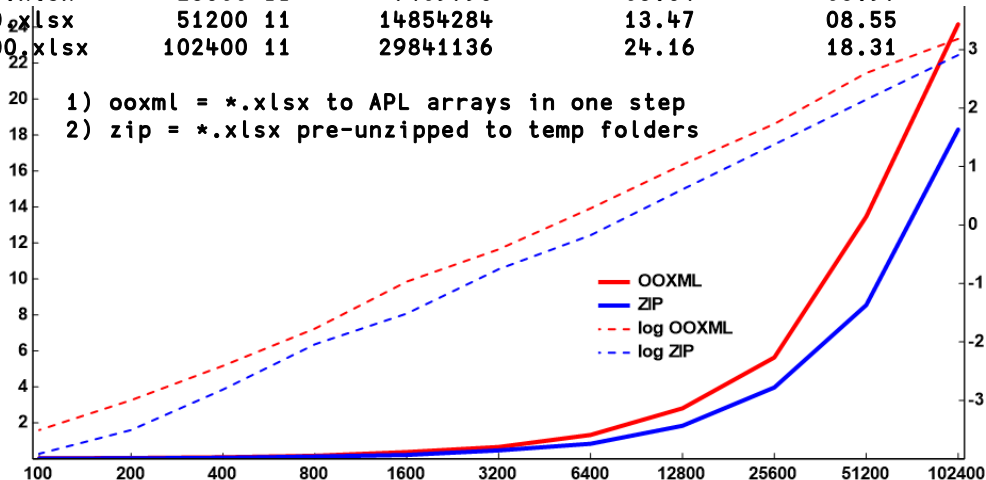
so... OOXML or (un)ZIP - which approach is faster?



OOXML (*.xlsx as-is) vs. ZIP (pre-extracted)

file	shape	bytes	ooxml(1)	zip(2)
uclients100.xlsx	100 11	28732	00.03	00.02
uclients200.xlsx	200 11	57300	00.05	00.03
uclients400.xlsx	400 11	114468	00.09	00.06
uclients800.xlsx	800 11	229008	00.17	00.13
uclients1600.xlsx	1600 11	458664	00.38	00.22
uclients3200.xlsx	3200 11	919920	00.66	00.47
uclients6400.xlsx	6400 11	1848508	01.33	00.84
uclients12800.xlsx	12800 11	3706952	02.81	01.84
uclients25600.xlsx	25600 11	7409796	05.64	03.97
uclients51200.xlsx	51200 11	14854284	13.47	08.55
uclients102400.xlsx	102400 11	29841136	24.16	18.31

- 1) ooxml = *.xlsx to APL arrays in one step
 2) zip = *.xlsx pre-unzipped to temp folders



Excel to APL Array - in detail

Parse the worksheet XML strings to obtain individual cell content items...

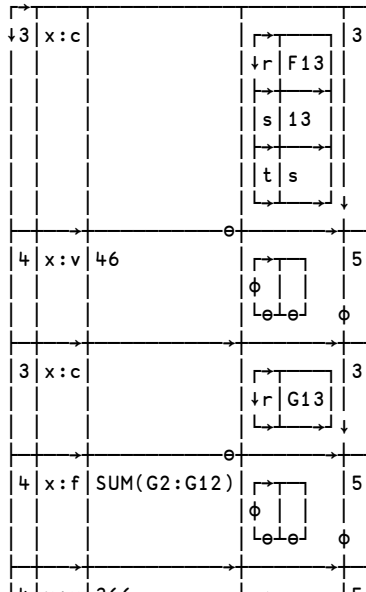
What about XML?

it can be used to process results, but...

alternatively: partition, by start/end strings,
using ⌵ and ⌶

```
↑xmlvector stringget '<x:c ' '/x:c>'
<x:c r="F13" s="13" t="s"><x:v>46</x:v></x:c>
<x:c r="G13"><x:f>SUM(G2:G12)</x:f><x:v>366</x:v></x>
```

or Regex/⌶S? - useful to a point...



Datatypes & Conversions

Numerics

A) LoadTEXT strategy: \square VF I everything and see what sticks ?

$\text{num} \leftarrow (\text{C}, 1) \equiv \circ \supset \quad \text{tmp} \leftarrow \square \text{VF I} \text{ cols/data} \quad \text{Ⓢ} \text{ all the numeric items}$
 $\text{:if header} < \vee / \text{ncol} \leftarrow \wedge \neq \text{num} \quad \text{Ⓢ} \text{ do we have full length columns of numbers?}$

B) \square CSV: column type info required

...all fields are assumed to be character fields unless otherwise specified...

C) OOXML: cells contain datatype indicators (if not, it's numeric)

eg. $t = "s", t = "e", t = "b", f = \dots, s = \dots$

Key Tag item letters

v = value

t = type, text

c = cell, column; row = row

si = string item

f = formula

t = "s" = shared string

s = "18" = style item

r = reference, RichText items



OOXML Cell Datatypes

eg. XML for one row:

```
<row r="13" spans="1:11" ht="17.25" x14ac:dyDescent="0.3">          <- row info
  <c r="A13" s="9" t="s"><v>27</v></c>          <- sharedString #27, with style #9
  <c r="B13" t="s"><v>48</v></c>          <- sharedString #48 (note: missing "C13")
  <c r="D13" s="17"><v>23070</v></c>          <- numeric value, style #17 (date)
  <c r="E13" t="s"><v>63</v></c>          <- sharedString #63
  <c r="F13" s="12" t="s"><v>45</v></c>          <- sharedString #45, style #12
  <c r="G13"><f>SUM(G2:G12)</f><v>366</v></c>          <- formula, and result value
  <c r="H13" s="2"><v>99944</v></c>          <- numeric value, style #2
  <c r="I13" t="e"><v>#N/A</v></c>          <- Excel N/A (note: not a sharedString)
  <c r="J13" s="13" t="s"><v>64</v></c>          <- sharedString #64, style #13
  <c r="K13"><v>42</v></c>          <- numeric value
</row>
```

13	XYLINA	Pers@n%3		28-02-1963	598, Theda	4#A Dr	Réno	366	\$99,944.00	#N/A	7777	42
----	--------	----------	--	------------	------------	--------	------	-----	-------------	------	------	----



OOXML Cell Items - sharedStrings (... "table", a.k.a. "sst")

sharedStrings.xml

- items delimited by <si> tags
- item position = ID# (ID# ← 0)
- escaped chars (<, >, &...)
- some items may contain spans of differing fonts, styles, etc., so these text segments must be re-joined to obtain the entire string

```
1 123, NOWHERE  
3 598, Theda  
3 7007, Look Str, Gui
```

```
<sst count="81" uniqueCount="68">  
  <si><t>Name</t></si>  
  <si><t>Surname</t></si>  
  <si><t>Address</t></si>  
  <si>  
    <r>  
      <t>598, Theda</t>  
    </r>  
    <r>  
      <rPr>  
        <sz val="11"/>  
        <color theme="1"/>  
        <rFont val="APL385 Unicode"/>  
        <family val="3"/>  
      </rPr>  
      <t>7007, Look Str, Gui</t>  
    </r>....</si>
```



OOXML Cell Items - other translation issues

- Some cells may have style but no value items (search issue), eg.
`<c r="A1" s="1" t="s"><v>0</v></c><c r="B1" s="1" /><c r=...`

	A	B	C	
1	<i>Employee Info</i>			
2				
3	Name	SSN	Emp #	Posi
4	Bill Lee	111-111-1111		1 Sale
5	Shannon MacArthur	222-222-2222		2 Man

- LINEFEED vs. NEWLINE**, ie. Alt-Enter in cell input keystrokes
 - breaks strings into items on new lines visually
 - in Excel (visually), the character behaves like NL, but in XML = LF
 - so in APL, you may want to modify

1953-01-27	94, Tourmaline Str, Suite 976	Gangreung	76.00
------------	----------------------------------	-----------	-------



OOXML Cell Items - the <x: namespace prefix

inserted by the SDK

<https://social.msdn.microsoft.com/Forums/office/en-US/4fb3bb5e-ef5f-4795-837a-fae30d0ecef0/xml-prefixes-from-openxml-sdk-but-not-excel?forum=oxmlsdk>

"Using a namespace prefix is only required if the XML refers to more than one namespace.... The Open XML SDK can't judge whether what you're creating will work with more than one namespace, so it's designed to always write out namespace prefixes..."

with (via SDK):

```
<x:c r="A13" s="9" t="s"><x:v>27</x:v></x:c><x:c r="B13" t="s"><x:v>48</x:v></x:c><x:c r="D13" s="17"><x:v>23070</x:v></x:c><x:c r="E13" t="s"><x:v>63</x:v></x:c>
```

without (via ZIP):

```
<c r="A13" s="9" t="s"><v>27</v></c><c r="B13" t="s"><v>48</v></c><c r="D13" s="17"> <v>23070</v></c><c r="E13" t="s"><v>63</v></c>
```



OOXML Cell Items - STYLES

`<c r="H11" s="4"><v>921266</v></c>` - linked to styles.xml items

DATES - stored as IDN, with style applied, eg. `<c r="D3" s="1"><v>29645</v></c>`
(* so to identify date cells... follow the style "mapping")

style.xml - contains number formats; fonts; characteristics for fill, border, etc.

numberFormat codes: ID refer to items in a standard list (see below) or supplied definitions

```
<styleSheet xmlns="http://schemas.openxmlformats.org/spreadsheetml/2006/main">
```

```
  <numFmts count="3">
```

```
    <numFmt numFmtId="164" formatCode="[$-414]mmmm\ yyyy;@" />
```

```
    <numFmt numFmtId="165" formatCode="0.000" />
```

```
    <numFmt numFmtId="166" formatCode="#,##0.000" />
```

notes:

[\$-414] = "locale"

```
<xf numFmtId="14" ... applyNumberFormat="1" />
```

see ID table below

```
<xf numFmtId="1" ... applyNumberFormat="1" />
```



OOXML Cell Items - STYLES > Number Formats

eg. <https://stackoverflow.com/questions/4730152/what-indicates-an-office-open-xml-cell-contains-a-date-time-value>

0 = 'General';	18 = 'h:mm AM/PM';	49 = '@';
1 = '0';	19 = 'h:mm:ss AM/PM';	27 = '[\$-404]e/m/d';
2 = '0.00';	20 = 'h:mm';	30 = 'm/d/yy';
3 = '#,##0';	21 = 'h:mm:ss';	36 = '[\$-404]e/m/d';
4 = '#,##0.00';	22 = 'm/d/yy h:mm';	50 = '[\$-404]e/m/d';
9 = '0%';	37 = '#,##0 ;(#,##0)';	57 = '[\$-404]e/m/d';
10 = '0.00%';	38 = '#,##0 ;[Red](#,##0)';	59 = 't0';
11 = '0.00E+00';	39 = '#,##0.00;(#,##0.00)';	60 = 't0.00';
12 = '# ?/?';	40 = '#,##0.00;[Red](#,##0.00)';	61 = 't#,##0';
13 = '# ??/??';	44 = '_(("\$"* #,##0.00_);_("\$"* \(#,##0.00\);_("\$"* "-"??_);_(@_)';	62 = 't#,##0.00';
14 = 'mm-dd-yy';	45 = 'mm:ss';	67 = 't0%';
15 = 'd-mmm-yy';	46 = '[h]:mm:ss';	68 = 't0.00%';
16 = 'd-mmm';	47 = 'mmss.0';	69 = 't# ?/?';
17 = 'mmm-yy';	48 = '##0.0E+0';	70 = 't# ??/??';



OOXML - Very Large Spreadsheet Files?

Grabbing and manipulating the entire XML for a large worksheet (1E6 rows?) may exhaust available workspace memory, so ask yourself if the entire worksheet really has to exist as a single APL array?, and if not...

- explore further OOXML-SDK methods/props to target smaller parts? (rows, cols, cell ranges? - see C# examples online)
- use `INREAD` to read/process chunks?
- read the entire worksheet xml string, but convert only parts to APL?

Performance may also be an issue,
...so compare with COM and CSV options, or try sfExcel with DataTable?



OOXML - Automation Issues

Suppose: a list of spreadsheet files to be processed, data to be extracted

- **use OOXML directly?, or UnZip to temp folders on first pass?**
(one UnZip advantage: facilitates `fread` chunks on really large xml strings?)
- **concurrency ("...cannot access the file...because it is being used by another process...") - is still a factor**
- **edge conditions - be prepared for spreadsheet files: lacking internal components; corrupted and unreadable; etc.**



Summary

- ***.xlsx is a Zipped collection of xml files; some provide key content**
- **internal xml files obtained via OOXML-SDK, or UnZip**
- **parse for cell contents, datatypes, etc.; construct the APL array result**

That's Reading... what about Writing - APL to Excel?
...Stay tuned!



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Thank you!

